

Dynamic ocean management increases the efficiency and efficacy of fisheries management

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In response to the inherent dynamic nature of the oceans and continuing difficulty in managing ecosystem impacts of fisheries, interest in the concept of dynamic ocean management, or real-time management of ocean resources, has accelerated in the last several years. However, scientists have yet to quantitatively assess the efficiency of dynamic management over static management. Of particular interest is how scale influences effectiveness, both in terms of how it reflects underlying ecological processes and how this relates to potential efficiency gains. Here, we address the empirical evidence gap and further the ecological theory underpinning dynamic management. We illustrate, through the simulation of closures across a range of spatiotemporal scales, that dynamic ocean management can address previously intractable problems at scales associated with coactive and social patterns (e.g., competition, predation, niche partitioning, parasitism, and social aggregations). Furthermore, it can significantly improve the efficiency of management: as the resolution of the closures used increases (i.e., as the closures become more targeted), the percentage of target catch forgone or displaced decreases, the reduction ratio (bycatch/catch) increases, and the total time–area required to achieve the desired bycatch reduction decreases. In the scenario examined, coarser scale management measures (annual time–area closures and monthly full-fishery closures) would displace up to four to five times the target catch and require 100–200 times more square kilometer–days of closure than dynamic measures (grid-based closures and move-on rules). To achieve similar reductions in juvenile bycatch, the fishery would forgo or displace between USD 15–52 million in landings using a static approach over a dynamic management approach.

dynamic ocean management | real-time management | ecosystem-based fisheries management | spatiotemporal | bycatch

Although traditional fisheries management has focused on assessing the health of individual fish stocks, there has been a strong trend over the past two decades toward the incorporation of ecosystem components into fisheries management (1, 2). Ecosystem-based fisheries management (EBFM) seeks to meet multiple, potentially conflicting goals across ecological, economic, and social objectives (3, 4). Meeting these goals is made more complex in marine ecosystems due to the inherent dynamic nature of the oceans. In response to continuing difficulty in managing the ecosystem impacts of fisheries in a highly dynamic environment, including bycatch (i.e., the accidental interaction of fishing gear with nontarget species), interest in the concept of dynamic ocean management (DOM) has accelerated (5–10). Maxwell et al. (8) define dynamic management as “management that changes in space and time in response to the shifting nature of the ocean and its users based on the integration of new biological, oceanographic, social and/or economic data in near real-time” (8). Dynamic management reflects advancement in our ability to manage ocean resources across finer spatial and temporal scales as a result of technological improvements that have paved the way for higher-resolution collection of both fisheries and environmental data (e.g., electronic logbooks, vessel monitoring systems, smartphone technology, remote sensing, and animal tracking) (9). The existing literature has focused on the presumed capacity of dynamic management to

increase management efficiency across both ecological and economic objectives (7, 8), and in codifying the different approaches to dynamic management across fisheries and other applications (7, 10). However, little to no empirical research exists to quantify the implied benefits of dynamic management or compare the efficiency of the various spatiotemporal management measures. Additionally, and critically, the benefits of dynamic management hinge on the premise that it is capable of managing resources at scales more aligned with resources and resource users, yet we lack a quantitative assessment of how scale influences the effectiveness of dynamic management—both in terms of how it reflects underlying ecological processes, and how this relates to the efficiency of dynamic management approaches.

Scale in Fisheries Management

Frameworks for dynamic management (e.g., ref. 6) have defined it in contrast to traditional static spatiotemporal management of fisheries (i.e., coordination of fisheries in space and/or time) including monthly or seasonal closures of specific areas (often known as “time–area closures”), and seasonal full-fishery closures. Alternatively, dynamic management operates at smaller scales of space and time, and depends on contemporaneous conditions. Work on dynamic management has focused on three types of measures: grid-based hot-spot closures, real-time closures based on move-on rules, and oceanographic closures. Grid-based closures involve the overlaying of a grid on an area of interest and closing individual grid cells where bycatch has exceeded a threshold level (e.g., refs. 11 and 12); they have been implemented on a daily or weekly basis with cell sizes as small as

Significance

Food security and the economic well-being of millions of people depend on sustainable fisheries, which require innovative approaches to management that can balance ecological, economic, and social objectives. We offer empirical evidence that dynamic ocean management, or real-time ocean management, can increase the efficacy and efficiency of fisheries management over static approaches by better aligning human and ecological scales of use. Furthermore, we show that dynamic management can address critical ecological patterns previously considered to be largely intractable in fisheries management (e.g., competition, niche partitioning, predation, parasitism, or social aggregations) at appropriate scales. The evidence and theory offered supports the use of dynamic ocean management in a range of scenarios to improve the ecological, economic, and social sustainability of fisheries.

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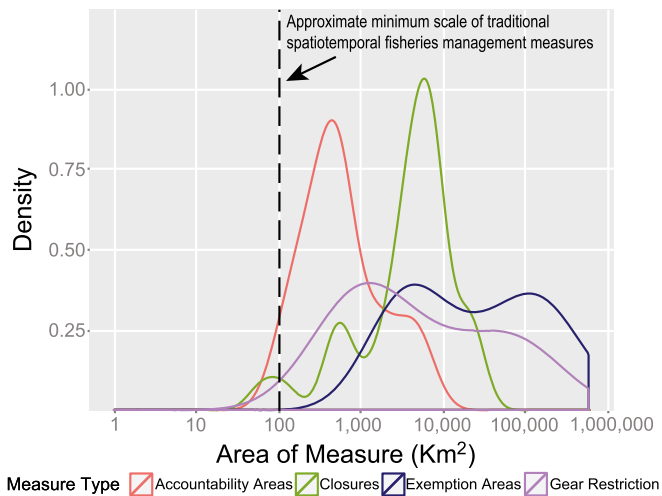


Fig. 1. Density of the area of spatiotemporal management measures in the Northeast Multispecies Fishery. Data abstracted from the Greater Atlantic Region Fisheries Office (www.greateratlantic.fisheries.noaa.gov/educational_resources/gis/data/index.html; downloaded on March 30, 2015). Only one management measure in the fishery, Fippennies Ledge Area, is finer than 100 km² (Table S1).

~50 km². Move-on rules are similarly triggered by a threshold, but rather than using predefined grid cells, fishermen must move a set distance away from the affected area. Move-on rules have been widely implemented with real-time closures lasting days to weeks over distances as short as 2–10 km in radius (5, 10, 13, 14), with the potential to be implemented on temporal scales of days or hours if higher-resolution catch data are incorporated. Oceanographic closures are areas defined by environmental conditions (e.g., sea surface temperature) and have been implemented on a daily (15) and biweekly (16, 17) basis. In the only compulsory example, the Eastern Australia pelagic long-line fishery employs a habitat model to inform a dynamic oceanographic closure to reduce bycatch of southern bluefin tuna (*Thunnus maccoyii*) based on 5-km resolution temperature data, but the oceanographic closure is implemented at a much coarser scale (17).

Although there are active examples of dynamic management, the vast majority of spatiotemporal fisheries management measures are static and occur at much larger scales. The resolution and extent of fisheries management have largely been dictated by logistical, and legal and political constraints, respectively, and secondarily by the geographic range of the species or sub-population dynamics (18). Management units in developed coastal fisheries are rarely smaller than 1,000 km², and management measures are generally larger than 100 km². For example, in the Northeast Multispecies Fishery in the United States from which the data for this study are drawn (see *Methods* for further details on the fishery), the mean size of a spatiotemporal management measure is 25,635 km² ($n = 74$; range, 61–592,539 km²; SD, 78,339 km²; Fig. 1 and Table S1). If we consider only closures, the mean is 6,344 km² ($n = 33$; range, 61–23,454 km²; SD, 6,194 km²; Table S1). From a temporal perspective, the resolution of management measures is at best a month (e.g., Rolling Closure Areas) and generally a year (Table S1).

Implication of Scale-Dependent Drivers of Ecosystem Structure for Fisheries Management

To understand the need to manage at sub-100-km² and 1-mo scales (i.e., the need to use dynamic management) and the efficiency gains potentially afforded by doing so, we need to understand how those scales interact with ecosystem structure and fisheries management. The processes responsible for producing

pattern in marine ecological systems vary widely across spatial and temporal scales. At the base of marine ecosystems, the drivers of variability in biomass are scale dependent (19, 20). Plankton abundance is generally a function of highly variable forcing factors influencing growth (light, temperature, and nutrient availability) and distribution at fine scale (e.g., molecular processes, internal waves and tides, and biophysical interactions), mesoscale (e.g., surface tides, fronts, and eddies), and macroscale [e.g., basin variability, decadal/multidecadal oscillations, and climate change (21–24); reviewed in refs. 25–27]. These patterns are also true for higher trophic level organisms (including fishermen), which are also patchy and forced by diverse scale-dependent drivers, although temporal and spatial lags often exist for higher trophic level organisms because they are not as tightly coupled with physical processes and the distribution of primary productivity (18, 28, 29).

Drivers of ecosystem structure at scales smaller than 100 km², however, differ from larger scales by including coactive and social patterns as dominant forces, as opposed to vectorial (i.e., environmental) and reproductive patterns (Fig. 2) (19). Coactive patterns, as defined by Hutchinson (30), arise from interactions between species (e.g., competition, niche partitioning, predation, and parasitism), whereas social patterns are “determined by signalling of various kinds, leading either to spacing or aggregation” (e.g., facilitated foraging, local enhancement, predator avoidance, territoriality). Coactive patterns have been widely described in the marine realm (31–34), and similarly, social patterns are seen within taxa (35, 36), and among them (37, 38). As fishing itself is a predator–prey interaction with strong social pressures among fishermen, patterns of fishing effort within a fishery are also forced by social and coactive processes at sub-100-km² scales (39–41). If variability in the distribution and abundance of target species and fishing effort are based on multiple drivers across multiple scales, we can assume that effective fisheries management should also be a multiscale process, capable of addressing drivers at all tractable scales. However, as seen in the example of the size distribution of Northeast Multispecies Fishery measures (Fig. 1), this is rarely the case. Fisheries management is almost entirely a mesoscale activity. As such, attempts to manage processes and patterns at sub-100-km², sub-1-mo resolution likely involves some level of spatiotemporal mismatch and some degree of inefficiency.

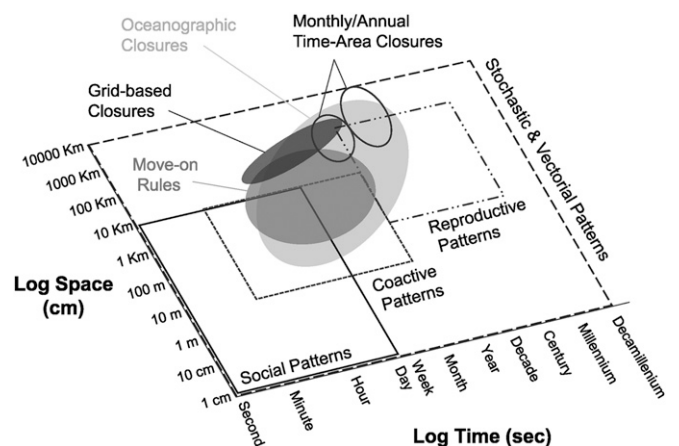


Fig. 2. Spatiotemporal scales of Hutchinson's five patterns and fishery management measures. Traditional spatiotemporal fisheries management measures (i.e., monthly and annual time–area closures) can only address reproductive and some vectorial patterns at appropriate scales. However, dynamic management measures (i.e., closures based on oceanography, grid-based hotspot closures, and real-time closures based on move-on rules) should be able to address social and coactive patterns as well as some vectorial and reproductive patterns.

Table 1. Results from the simulation of six different closures type spanning a range of spatial and temporal scales

Closure type	BLM or weight threshold, lb	Percent bycatch reduction	Percent target catch affected	Bycatch reduction efficiency	No. of closures	Area of closure; resolution, km ²	Days closed	Log km ² ·d of closure	Spatiotemporal efficiency, /1,000	SUM
Move-on rules	NA	62.17	8.57	7.25	48	19.63	1	2.97	0.2	4.64
Daily grid-based closures	10	61.66	17.39	3.55	30	50	1	3.18	0.3	4.13
Weekly grid-based closures	10	61.66	18.27	3.37	30	50	7	4.02	1.8	3.26
Monthly time–area closures	0.0001	60.01	18.77	3.20	5	100	30	4.18	2.6	3.08
Annual time–area closures	0.001	68.72	37.47	1.83	2	100	365	4.86	12.8	2.16
Monthly total closures	NA	68.54	43.28	1.58	4	2,600	30	5.49	54.8	1.46

BLM, boundary length modifier (see [Supporting Information](#)); SUM, spatiotemporal utility metric that provides a summary across all metrics.

and [Table S2](#)]. However, no attempt to test for significant differences between individual measures was performed due to the small sample sizes. Allowing the BLM to vary in the Marxan runs predictably led to lower “cost” (i.e., the percent target catch affected) but also decreased the spatiotemporal efficiency of the closures.

The various metrics used suggest that the results of this study are not artifacts of the way the SUM is formulated. The spatiotemporal efficiency component likely has an outsized effect on the SUM because the range of spatiotemporal efficiency values across all closure types (spanning three orders of magnitude) is greater than the range in the bycatch reduction efficiency (less than one order of magnitude). Despite this, the three independent metrics that make up the SUM (target catch affected, bycatch reduction efficiency, and the log of spatiotemporal efficiency) all displayed the same strong trends ($R^2 > 0.7$) with little overlap in the SUM as measures became more dynamic. Thus, although further consideration should be given to ensuring the formulation of the SUM is weighted appropriately for the context it is applied in, the general results of this study are not sensitive to changes in how the SUM is formulated.

Furthermore, the methods used to identify optimal closures for the coarser-scale spatiotemporal closures (monthly and annual time–area closures and monthly full-fishery closures) are a best-case scenario based on perfect knowledge of where the juvenile bycatch hot spots were located. That is, they were chosen after fishing occurred and the bycatch was known. The grid-based closures and real-time move-on rules are based on a trigger (i.e., bycatch in a given set exceeding a threshold) that affected sets in the future with no knowledge of where or when the future bycatch events occurred. This assumption of perfect hindsight strongly biases the results of the study in favor of the more static measures, making our conclusions regarding the utility of dynamic measures conservative.

It is important to note that DOM is made possible by the speed at which information is transferred or by defining management measures against conditions on the ground that fishermen may respond to directly. Based on technology and processes that are already in place in a number of fisheries (46), this study assumes immediate transfer of knowledge to all other fishermen in the sector. For example, mobile apps like eCatch (<https://www.ecatch.org/>), Digital Deck (pointnineseven.com/resources/display/digital_deck), and Deckhand (deckhandapp.com) are used by fishermen to transfer catch data in real time and can or could transmit DOM products back to fishermen. Although these tools allow for operational implementation of DOM, there is a larger question of how DOM fits within current fisheries management regimes. Previous studies have shown that DOM does not seek to supplant existing adaptive management

processes but falls within the implementation component of that framework (7, 8). For example, move-on rules as they are currently implemented in numerous fisheries do not occur at a predetermined time or location and do not require management council review for each application of the measure (5, 10); rather, the distance which fishermen must move following a bycatch event is determined during the council review process and the move-on rule is applied in near real time on the ground. The potential legal constraints on the various stages encountered in implementing DOM have also been enumerated including appropriate legal notice of changes in closure location (e.g., for grid-based closures and oceanographic closures), and addressing permits that confer absolute property rights (9). In these cases, dynamic closures may violate such property rights by restricting access, although exceptions to absolute property rights already exist in a fisheries context (e.g., emergency closures due to maximum take of protected species). Moreover, this study indicates that dynamic management has less impact on fishermen (i.e., it affects less target catch and time–area) than static management. Thus, it may not be necessary to develop DOM regulations, but rather offer information to fishermen to use voluntarily to meet already legally established management goals (e.g., bycatch reduction) or improve their economic efficiency (e.g., by avoiding the need to lease more quota). In such scenarios (e.g., as implemented in the US East Coast Scallop Fishery) (12), DOM amounts to information sharing and is only limited by the aforementioned speed of content delivery.

Implications for Ecosystem-Based Fisheries Management. This study highlights the increases in efficiency that can be obtained by using finer-scale management measures than traditionally used in fisheries management, which generally occurs at mesoscale spatial resolutions and monthly or annual timescales. That is not to say that the understanding and integration of mesoscale, macroscale, and megascale processes and patterns into fisheries management is not critical. Mesoscale and macroscale are, have been, and will continue to be the dominant scales of strategic fisheries management. However, managers must develop finer-scale (1–10 km) management measures to ensure that the tactical implementation of those strategies is done as efficiently as possible.

The gap in fisheries management at scales less than 10 km also raises some doubt as to whether, and at what cost due to the inefficiency of the measures, we can meet commitments to implement ecosystem-based fisheries management with spatiotemporal measures that may be fundamentally mismatched in space and time to address important drivers of ecosystem structure (i.e., coactive and social patterns). Since its inception, calls for EBFM have contained requirements to protect ecosystem structure, stock structure, and

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